

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

Munn et al.

Appl. No.:

10/660,131

Art Unit:

1647

Filed:

September 11, 2003

Examiner:

Regina M. DeBerry

For:

Chemokine Receptor Antagonists as Therapeutic Agents

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE 1.114 RCE APPLICATION)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, applicant(s) hereby submit(s) an Supplemental Information Disclosure Statement for consideration by the Examiner.

I. <u>LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION</u>

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO/SB/08A(s), attached hereto.

II. <u>COPIES</u> (check at least one box)

- a. This application was filed before June 30, 2003. Accordingly, submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. This application was filed on or after June 30, 2003. Accordingly, copies of cited U.S. patents and patent application publications therefore are not included. Copies of foreign patent documents and non-patent literature are included.

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	c.		Attorney Docket No. M0351-287806 Express Mail Label No. EV 517 992 900 US Some or all of the documents listed on the PTO/SB/08A are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.
III.	CON (chec	CISE EX k at leas	XPLANATION OF THE RELEVANCE st one box)
	a.	\boxtimes	DOCUMENTS IN THE ENGLISH LANGUAGE
			The patents, publications, or other information listed on the attached PTO/SB/08A are in the English language and therefore, do not require a statement of relevancy.
	b.		DOCUMENTS NOT IN THE ENGLISH LANGUAGE
			A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:
	c.		ENGLISH LANGUAGE SEARCH REPORT
			An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).
	d.		OTHER
			The following additional information is provided for the Examiner's consideration.

FEES

 a. □ within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required. (This section is not to be used with RCE's.) b. □ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or statement is required. c. □ concurrently with the filing of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required. d. □ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(c) below, or, if no statement has been made, charge our deposit account in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p). V. □ THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c): (check one box) before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)). a. □ No statement; therefore, a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p). b. □ See the statement below. No fee is required. 	V.		THIS (check	IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b): one box)
\$ 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee of statement is required. c. concurrently with the filing of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required. d. before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge our deposit account in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p). V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c): (check one box) before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)). a. No statement; therefore, a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).		a.		§ 1.97(b)(1)). No fee or statement is required. (This section is not to see
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1.97(c)(1)) or before the mailing date of a Notice of Allowance under 5, or and 5 (See 37 C.F.R. § 1.97(c)(2)). a. No statement; therefore, a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).	V.	\boxtimes	THIS	S IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c): ck one box)
C.F.R. § 1.17(p).		1.97	(c)(1)	or before the mailing date of a Notice of Allowance under 57 of the 3.R. § 1.97(c)(2)).
		a.	\boxtimes	C.F.R. § 1.17(p).
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STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box) VI. The undersigned hereby states that each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign a. application not more than three months prior to the filing of this IDS; or no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to \Box b. the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that c. each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. PAYMENT OF FEES (check one box) VII. Payment by credit card Form PTO-2038 in the amount of \$180 required by 37 \boxtimes C.F.R. § 1.17(p) is enclosed for the above-identified fee. Please charge Deposit Account No. 16-1435 in the amount required by 37 C.F.R. § 1.17(p) for the above-indicated fee. A triplicate copy of this paper is attached. No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 16-1435.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 16-1435 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17. A duplicate of this sheet is attached for that purpose.

Respectfully submitted,

Cynthia B. Rothschild Reg. No. 47,040

Date Mailed: Deamber 21, 2006

Attachment(s):

PTO/SB/08A

References

⊠ Fee

Other:

PTO/SB/08A (07-06)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/660,131			
Filing Date	September 11, 2003			
First Named Inventor	David H. Munn			
Art Unit	1647			
Examiner Name	Regina M. DeBerry			
Attorney Docket Number	M0351-287806			

(Use as many sheets as necessary)
Sheet 1 of 3

Substitute for form 1449A/PTO

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U.S. PATENT DOCUMENTS Name of Patentee or Applicant of Pages, Columns, Lines, Where Relevant Passages or Relevant Document Number Publication Date MM-DD-YYYY Cited Document Cite No. Examiner Initials ' Number - Kind Code2 (if known) Figures Appear US-US-US-US-US-US-ับร-US-US-US-US-US-US-ับร-US-US-US-US-US-US-

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Examiner Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
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Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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	Substitute	for form 1449B	/PTO	•		Complete if Known
					Application Number	10/660,131
				CLOSURE	Filing Date	September 11, 2003
	STAT	EMENT	BY A	PPLICANT	First Named Inventor	David H. Munn
					Art Unit	1647
		(Use as man	y sheets as	necessary)	Examiner Name	Regina M. DeBerry
_	Sheet	1 2	of	3	Attorney Docket Number	M0351-287806 (MCG 003-03)

		NON PATENT LITERATURE DOCUMENTS	
Examiner	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	1.	BASHEER, R. et al., "Adenosine as a biological signal mediating sleepiness following prolonged wakefulness," Biol. Signals Recept., 9(6): 319-327 (2000) (Abstract only)	
	2.	BONNER, JAMES A. et al., "Radiotherapy plus Cetuximab for Squamous-Cell Carcinoma of the Head and Neck," New England Journal of Medicine, 354(6): 567-578 (2006)	
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	11.	KAMINSKI, MARK S. et al., "Radioimmunotherapy of B-Cell Lymphoma with [131] Anti-B1 (Anti-CD20) Antibody," New England Journal of Medicine, 329: 459-465 (1993)	
	12.	LIN, MICHAEL Z. et al., "The Evolution of Antibodies into Versatile Tumor-Targeting Agents," Clinical Cancer Research, 11: 129-139 (2005)	

Signature	Examiner Signature	Date Considered
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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND TEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 1450.

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Complete if Known Substitute for form 1449B/PTO 10/660,131 Application Number INFORMATION DISCLOSURE September 11, 2003 Filing Date STATEMENT BY APPLICANT David H. Munn First Named Inventor 1647 Art Unit Regina M. DeBerry (Use as many sheets as necessary) Examiner Name M0351-287806 (MCG 003-03) Attorney Docket Number of Sheet

		NON PATENT LITERATURE DOCUMENTS	
Examiner	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
, and an	13.	MUNN, DAVID H. et al., "Expression of indoleamine 2,3-dioxygenase by plasmacytoid dendritic cells in tumor- draining lymph nodes," The Journal of Clinical Investigation, 114(2): 280-290 (2004)	
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	19.	UNO, TOMOYASU et al., "Eradication of established tumors in mice by a combination antibody-based therapy," Nature Medicine, 12(6): 693-698 (2006)	
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	21.	YANG, JAMES C. et al., "A Randomized Trial of Bevacizumab, an Anti-Vascular Endothelial Growth Factor Antibody, for Metastatic Renal Cancer," New England Journal of Medicine, 349(5): 427-434 (2003)	
	22.	ZANGEMEISTER-WITTKE, UWE, "Antibodies for Targeted Cancer Therapy- Technical Aspects and Clinical Perspectives," Pathobiology, 72: 279-286 (2005)	
	23.	ZOU, WEIPING et al., "Stromal-derived factor-1 in human tumors recruits and alters the function of plasmacytoid precursor dendritic cells," Nature Medicine, 7(12): 1339-1346 (2001)	

Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

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